08-24.06

PTO/SB/21 (08-00) Please type a plus sign (+) inside this box Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Shder the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number (Unassigned) **TRANSMITTAL** May 29, 2001 Filing Date **FORM** First Named Inventor Mark J. Pivac Group Art Unit (to be used for all correspondence after initial filing) (Unassigned) **Examiner Name** (Unassigned) Attorney Docket Number Total Number of Pages in This Submission 01-307 (check all that apply) **ENCLOSURES** After Allowance Communication Assignment Papers X Fee Transmittal Form to Group (for an Application) Appeal Communication to Board Х of Appeals and Interferences Drawing(s) Fee Attached Appeal Communication to Group Licensing-related Papers Amendment / Reply (Appeal Notice, Brief, Reply Brief) Petition Proprietary Information After Final Petition to Convert to a Provisional Application Affidavits/declaration(s) Status Letter Power of Attorney, Revocation Other Enclosure(s) (please Change of Correspondence Х Extension of Time Request Address identify below): Declaration Terminal Disclaimer Acknowledgement Postcard Express Abandonment Request Request/Petition Under CFR Request for Refund 1.53(c) Information Disclosure Statement CD, Number of CD(s). This is a request to convert provisional Certified Copy of Priority Remarks application, Serial No. 60/294,067, Document(s) filed May 29, 2001, to a non-provisional Response to Missing Parts/ application. Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm James R. Smith, Registration No. 41,318 Individual name Signature semo August 23, 2001 Date U.S. POSTAL EXPRESS-MAILING LABEL NO. EL744441408US This correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service addressed to the Assistant Commissioner for Patents, Washington, DC 20231 on this date:

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OTAL AMOUNT OF PAYMENT	(\$)
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Complete if Known					
Application Number	(Unassigned)				
Filing Date	May 29, 2001				
First Named Inventor	Mark J. Pivac	_			
Examiner Name	(Unassigned)				
Group Art Unit	(Unassigned)				
Attorney Docket No.	01-307				

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Charge Any Additional Fee Required Under 37 CPR 1.16 and 1.17 Applicant claims small entity status. 139 130 139 130 Non-English specification Non-English specification Non-English specification Non-English specification Non-English specification SIR prior to Examiner action SIR after Examiner action SIR prior to Examine action SIR prior to Examiner action SIR prior to Examiner						Surcharge - late filing fee or oath	
Applicant daims small entity status. 139 130 139 130 139 130 139 130 139 130 139 130 139 130 139 130 1	Charge Any Additional Fee Required	127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
2. Payment Enclosed:	Cilda 37 Gr 1.10 and 1.11	139	130	139	130	Non-English specification	
Check		147	2,520	147	2,520	For filing a request for ex parte reexamination	
FEE CALCULATION 1. BASIC FILING FEE Large Entity Small Entity Fee Fee Fee Fee Fee Fee Fee Code (\$) C	□ □ □ Money □ □	112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
1. BASIC FILING FEE Large Entity Small Entity Fee	Check Credit card Order Cther	113	1,840*	113	1,8401	Requesting publication of SIR after Examiner action	
Large Entity Small Entity Fee		115	110	215	55	Extension for reply within first month	
Total Claims		116	390	216	195	Extension for reply within second month	
118 1,390 218 695 Extension for reply within fourth month 128 1,890 228 945 Extension for reply within fourth month 128 1,890 228 945 Extension for reply within fifth month 128 1,890 228 945 Extension for reply within fifth month 128 1,890 228 945 Extension for reply within fifth month 128 1,890 228 945 Extension for reply within fifth month 128 1,890 228 945 Extension for reply within fifth month 128 1,890 228 945 Extension for reply within fifth month 128 1,890 228 945 Extension for reply within fifth month 128 1,890 228 945 Extension for reply within fifth month 128 1,890 228 945 Extension for reply within fifth month 128 1,890 228 945 Extension for reply within fifth month 128 1,890 228 945 Extension for reply within fifth month 128 1,890 228 945 Extension for reply within fifth month 128 1,890 228 945 Extension for reply within fifth month 128 1,890 228 945 Extension for reply within fifth month 128 1,890 228 945 Extension for reply within fifth month 128 1,890 228 945 Extension for reply within fifth month 128 1,890 228 945 Extension for reply within fifth month 128 1,890 228 945 Extension for reply within fifth month 128 1,890 228 945 Extension for reply within fifth month 128 1,890 228 945 Extension for reply within fifth month 128 1,890 228 945 Extension for reply within fifth month 128 1,890 228 945 Extension for reply within fifth month 128 1,890 228 945 Extension for reply within fifth month 128 1,890 228 945 Extension for reply within fifth month 128 1,890 238	For For For For Percription	117	890	217	445	Extension for reply within third month	
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107 490 207 245 Plant filing fee 108 710 208 355 Reissue filing fee 114 150 214 75 Provisional filing fee SUBTOTAL (1) (\$) 710 2. EXTRA CLAIM FEES Total Claims 19 -20** = 0 x 18 = 0 144 600 244 300 Plant issue fee Large Entity Small Entity Fee Fee Fee Code (\$) Code (\$) 103 18 203 9 Claims in excess of 20 102 80 202 40 Independent claims in excess of 3 104 270 204 135 Multiple dependent claims over original patent 110 18 210 9 **Reissue independent claims over original patent 111 270 221 135 Filing a bire in support of an appeal 120 310 220 155 Filing a bire in support of an appeal 121 270 221 135 Request for oral hearing 121 270 221 135 Petition to revive - unavoidable 122 70 221 135 Petition to revive - unavoidable 123 1,510 138 1,510 Petition to revive - unintentional 124 1,240 242 620 Utility issue fee (or reissue) 144 600 244 300 Plant issue fee 122 130 122 130 Petitions to the Commissioner 123 50 123 50 Processing fee under 37 CFR 1.17(q) 126 180 126 180 Submission of Information Disclosure Stmt Recording each patent assignment per property (times number of properties) 136 710 246 355 Filing a bire in support of an appeal 127 270 221 135 Request for oral hearing 128 1,510 138 1,510 Petition to revive - unavoidable 129 Petition to revive - unavoidable 141 1,240 241 620 Petition to revive - unintentional 142 1,240 242 620 Utility issue fee (or reissue) 143 440 243 220 Design issue fee 144 600 244 300 Plant issue fee 145 1,240 245 630 Processing fee under 37 CFR 1.17(q) 126 180 126 180 Submission of Information Disclosure Stmt 127 50 128 180 129 155 Notice of Appeal	7.20	128	1,890	228	945	Extension for reply within fifth month	
108 710 208 355 Reissue filing fee 114 150 214 75 Provisional filing fee SUBTOTAL (1) (\$) 710 2. EXTRA CLAIM FEES Total Claims 19 -20** = 0		119	310	219	155	Notice of Appeal	
114 150 214 75 Provisional filing fee		120	310	220	155	Filing a brief in support of an appeal	
SUBTOTAL (1) (\$) 710 2. EXTRA CLAIM FEES Extra Claims Fee from below Fee Paid ledge Petition to revive		121	270	221	135	Request for oral hearing	
2. EXTRA CLAIM FEES Extra Claims 1.9 -20** = 0		138	1,510	138	1,510	Petition to institute a public use proceeding	
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Total Claims 19 -20** = 0 x 18 = 0 143 440 243 220 Design issue fee 144 600 244 300 Plant issue fee 145 600 And Issue fee 144 600 244 300 Plant issue fee 145 600 And Issue fee 145 60	Fee from	142	1,240	242	620	Utility issue fee (or reissue)	
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Multiple Dependent Large Entity Small Entity Fee	Independent 3 - 3** = 0 x 80 = 0	144	600	244	300	Plant issue fee	130
Large Entity Small Entity Fee Fee Fee Fee Fee Fee Fee Fee Code (\$) Code (\$) 103 18 203 9 Claims in excess of 20 104 270 204 135 Multiple dependent claim, if not paid 109 80 209 40 ** Reissue independent claims over original patent 110 18 210 9 ** Reissue claims in excess of 20 and over original patent 110 18 210 9 ** Reissue claims in excess of 20 and over original patent 123 50 123 50 Processing fee under 37 CFR 1.17(q) 126 180 126 180 Submission of Information Disclosure Stmt Recording each patent assignment per property (times number of properties) 146 710 246 355 Filling a submission after final rejection (37 CFR § 1.129(a)) 149 710 249 355 For each additional invention to be examined (37 CFR § 1.129(b)) 179 710 279 355 Request for Continued Examination of a design application 189 900 169 900 Request for expedited examination of a design application		122	130	122	130	Petitions to the Commissioner	130
Fee Fee Code (\$) Code (\$) 103 18 203 9 Claims in excess of 20 104 270 204 135 Multiple dependent claim, if not paid 109 80 209 40 Reissue independent claims over original patent 110 18 210 9 Reissue claims in excess of 20 and over original patent 110 18 210 9 Reissue claims in excess of 20 and over original patent 110 18 210 9 Reissue claims in excess of 20 and over original patent 110 18 210 9 Request for continued Examination of a design application 128 40 581 40 881 40 Recording each patent assignment per property (times number of properties) 146 710 246 355 Filling a submission after final rejection (37 CFR § 1.129(a)) 149 710 249 355 For each additional invention to be examined (37 CFR § 1.129(b)) 179 710 279 355 Request for Continued Examination of a design application		123	50	123	50	Processing fee under 37 CFR 1.17(q)	<u> </u>
Code (\$) Code (\$) 103 18 203 9 Claims in excess of 20 102 80 202 40 Independent claims in excess of 3 104 270 204 135 Multiple dependent claims, if not paid 109 80 209 40 "Reissue independent claims over original patent" 110 18 210 9 "Reissue claims in excess of 20 and over original patent" 1581 40 581 40 Recording each patent assignment per property (times number of properties) 146 710 246 355 Filing a submission after final rejection (37 CFR § 1.129(a)) 149 710 249 355 For each additional invention to be examined (37 CFR § 1.129(b)) 179 710 279 355 Request for Continued Examination (RCE) 169 900 169 900 Request for expedited examination of a design application		126	180	126	180	Submission of Information Disclosure Stmt	
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over original patent 110 18 210 9 ** Reissue claims in excess of 20 and over original patent 179 710 279 355 Request for Continued Examination (RCE) 169 900 169 900 Request for expedited examination of a design application Other fee (specify)	and the state of t	149	710	249	355	For each additional invention to be	
110 18 210 9 ** Reissue claims in excess of 20 and over original patent 169 900 169 900 Request for expedited examination of a design application (\$\frac{1}{2}\$) Other fee (specify)	over original patent	179	710	279	355	· ·	
(\$) Other fee (specify)					-	Request for expedited examination	
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**or number previously paid, if greater; For Reissues, see above *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 130	30BIOIAL (2)	' '				g Fee Paid SUBTOTAL (3)	130

OUDWITTED BY				Complete (if applicable)			
Name (Print/Type)	James R.	Smith		Registration No. (Attorney/Agent)	41,318	Telephone	(309) 636-1569
	—	7	111	(Automey/Agent)		Date	08/23/2001
Signature	servey	K.	Juny _				

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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application Mark J. Pivac)
Application No. 60/294,067)
Filed: May 29, 2001)
For: SUSPENSION LEVELING SYSTEM	
Attorney Docket No. 01-307	, ,
	•

Peoria, Illinois 61629-6490 August 23, 2001

Assistant Commissioner for Patents Washington, D.C. 20231

REQUEST FOR CONVERSION OF PROVISIONAL TO NON-PROVISIONAL APPLICATION (37 C.F.R. § 1.53(c)(3))

Sir:

Please convert the above identified provisional application filed to a non-provisional application under 37 C.F.R. § 1.53(c)(3).

A Declaration executed by the inventor is enclosed.

Respectfully submitted,

James R. Smith Reg. No. 41,318 Caterpillar Inc.

Telephone: (309) 636-1569 Facsimile: (309) 675-1236

Adjustment date: 08/29/2001 TLUU11 08/28/2001 CHGUYEN 00000801 031129 60294067 01 FC:123 50.00 CR

08/28/2001 CNGUYEN 00000001 031129 6029406 01 FC:123 50.00 CH 02 FC:101 710.00 CH 08/29/2001 TLUU11 00000002 031129 6029

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